

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT				ATTY. DOCKET NO. 3493.00152		SERIAL NO. Not yet assigned	
				APPLICANT Alan H. GNAUCK et al.			
				FILING DATE November 28, 2000		GROUP Not yet assigned	

09/21 U.S. PTO
09/17/2000

(use as many sheets as necessary)	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>hm</i>	6,115,162	09/05/00	Graves et al.			
<i>hm</i>	6,072,616	06/06/00	Cohen et al.			

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)	
<i>hm</i>	H. Bülow, et al., "Adaptive PMD Mitigation at 10 Gbit/s using an Electronic SiGe Equaliser IC," ECOC '99, September 26-30, 1999, pp. 138-139
<i>hm</i>	L.M. Lunardi, et al., "Performance Monitoring for Transparent Optical Networks," AT&T LABS - RESEARCH
<i>hm</i>	M. Fregolent, et al., "Adaptive Optical Receiver for Performance Monitoring and Electronic Mitigation of Transmission Impairments," ECOC 2000 Paper 2.1.2., September 4, 2000
<i>hm</i>	S.L. Woodward, et al., "PMD Mitigation via Single-Sideband Modulation and Principal-State Launch," AT&T LABS - RESEARCH

EXAMINER <i>hm</i>	DATE CONSIDERED <i>10/29/03</i>
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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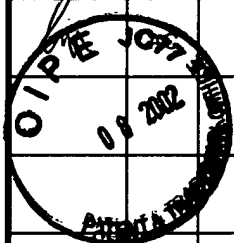
Application Number 09/722,646
 Filing Date 11/28/2000
 First Named Inventor Alan H. Gnauck et al.
 Group Art Unit 2633
 Examiner Name Not Yet Assigned
 Attorney Docket Number 2000-0515A

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
lu	AA	US5522004		O. G. Sahlen et al	05/28/1996	_____
lu	AB	US5377055		T. Wang et al	12/27/1994	_____
		US5793511		. Buelow et al	08/11/1998	_____



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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Office ³	Number (if known)	Kind Code ²				
lu	AD		DE4415176A		Ericsson Telefon AB L M	11/03/1994	_____	<input type="checkbox"/>
lu	AE		EP0409260A2		Fijitsu Ltd.	01/23/1991	_____	<input type="checkbox"/>
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AF		Communication and European Search Report, dated October 5, 2002, from European Patent Application No. 01127367.9, four pages.	<input type="checkbox"/>
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